

**IMPORTANT INFORMATION**

INTL FCSTONE PTE LTD (“IFP”) holds a Capital Markets Services license with the Monetary Authority of Singapore (“MAS”) and is required to ensure that it only engages with prescribed customers under its license conditions such as those classified as an “Accredited Investor” as defined in Section 4A of the Securities and Futures Act (Cap.289) (the “SFA”).

The classification of IFP’s customers’ status as an Accredited Investor (“AI”) is strictly with the consent of the customer and the customer is under no obligation to be classified as an AI. If a customer consents and subsequently opts not to be classified as an AI, IFP will cease to treat the customer as an AI.

Note that due to IFP’s MAS license conditions, the failure of the customer to be classified as an AI may lead to IFP being required to terminate the business relationship with the customer.

**SECTION 1: GENERAL WARNING** *(in accordance with First Schedule of the Securities and Futures (Class of Investors) Regulations 2018)*

Accredited investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as accredited investors therefore forgo the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to accredited investors, and intermediaries are exempted from a number of business conduct requirements when dealing with accredited investors. Investors should consult a professional adviser if they do not understand any consequence of being treated as an accredited investor.

There are additional disclosures in relation to the impact to the customer as a result of being classified as an AI which are detailed within “Section 7: Other Disclosures”.

**SECTION 2: GUIDANCE ON ACCREDITED INVESTOR CLASSIFICATION**

The AI Classification is available for customers who are both Individuals or Non-Individuals (“Corporates”). The following maps out the process for being classified as an AI.

Step 1: Review the types of AI classifications, the basis for classification and note the supporting documentation required. This is detailed in “Section 3: Types of AI Classification”.

Step 2: Based on Section 3, identify the AI category under which you would like IFP to classify you.

Step 3: (a) For Individuals, complete Section 4 based on your preferred basis of classification identified in Step 2.  
(b) For Corporates, complete Section 5 based on your preferred basis of classification identified in Step 2.  
(c) For Joint Account Holders, complete Section 6.

Step 4: Review Section 1 and Section 7: Other Disclosures, to ensure you fully understand the implications of being classified as an AI.

Step 5: (a) For Individuals, complete and sign Section 8. Return the signed copy of Section 8 and Section 4 with the required supporting documents to IFP.  
(b) For Corporates, complete and sign Section 9. Return the signed copy of Section 9 and Section 5 with the required supporting documents to IFP.  
(c) For Joint Account Holders, complete and sign Section 10. Return the signed copy of Section 10 and Section 6.

In the event you wish to be declassified as an AI, you may do so at anytime by completing and signing the “Accredited Investors Opt-Out Form” and returning it to IFP. If you have any further queries, please email [Onboarding.Asia@intlfcstone.com](mailto:Onboarding.Asia@intlfcstone.com).

## SECTION 3: TYPES OF ACCREDITED INVESTOR CLASSIFICATION

There are two broad classifications of Accredited Investors ("AI"): Individuals and Non-Individuals ("Corporates") as detailed below. Customers intending to be classified as an AI must identify the basis for qualification and the relevant supporting documentation that must be submitted to INTL FCSTONE PTE LTD ("IFP") to validate the basis. These are summarized below:

Accredited Investor ("AI") Classification			
Type of Investors	AI-Classification Code	AI Classification Basis	Examples of Supporting Documents
Individual Accredited Investor	INDV-1	Income in preceding 12 months is not less than SGD 300,000 (or its equivalent in a foreign currency)	(a) Salary Slip (b) Latest Notice of Tax Assessment>Returns filed with tax authorities (c) Letter of salary confirmation from Employer for preceding 12 months (d) Confirmation from Tax Adviser/Accountant of Individual's salary for preceding 12 months
	INDV-2	Net Financial Assets (i.e. net of any related liabilities) exceed SGD 1 million (or its equivalent in a foreign currency)	(a) Bank Statement(s) (b) Custody or Broker Statement(s) (c) Confirmation Letter from Bank(s)/Broker(s)
	INDV-3	Net Personal Assets exceed SGD 2 million (or its equivalent in a foreign currency), but net equity of primary residence capped at SGD 1 million of the SGD 2 million threshold	(a) Property Valuation Report/Reference (b) Mortgage Loan Statements (c) Bank Statement(s) (d) Custody or Broker Statement(s) (e) Confirmation Letter from Bank(s)/Broker(s)
	INDV-4	A person who holds a joint account with an Accredited Investor, in respect of dealings through a joint account	(a) Acceptance from non-Accredited Investor for the Joint Account to be granted AI status.
Corporate Accredited Investor	CORP-1	Net Assets of a Corporation exceed SGD 10 million (or its equivalent in foreign currency)	(a) Latest audited financial statements (b) Where not required to be audited, then certified by the Corporate (through Directors)
	CORP-2	Entire Share Capital of a Corporation is owned (ultimate beneficial owners) by person(s) ALL of whom are either Individual or Corporate Accredited Investors	(a) ALL shareholders must submit the validating supporting documents as detailed within INDV-1 to INDV-3 and/or as detailed under CORP-1
	CORP-3	Net Assets of an entity (other than a Corporation) exceed SGD 10 million (or its equivalent in foreign currency)	(a) Latest audited financial statements (b) Where not required to be audited, then certified by the Corporate (through Directors)
	CORP-4	Each Partner of a partnership (other than a limited liability partnership) is an Accredited Investor	(a) ALL partners must submit the validating supporting documents as detailed within INDV-1 to INDV-3
	CORP-5	Trustee of a Trust where the property assets exceeds SGD 10 million	(a) Latest audited financial statements (b) Where not required to be audited, then certified by the Trustee
	CORP-6	Trustee of a Trust where ALL beneficiaries are Accredited Investors	(a) Declaration provided by a Professional/Licensed Trustee; <u>OR</u> (b) Beneficiaries to submit the validating supporting documents as detailed within INDV-1 to INDV-3
	CORP-7	Trustee of a Trust where ALL settlors are Accredited Investors and have reserved investment powers and revocation powers	(a) Declaration provided by a Professional/Licensed Trustee; <u>OR</u> (b) Beneficiaries to submit the validating supporting documents as detailed within INDV-1 to INDV-3

## SECTION 4: ACCREDITED INVESTOR CLASSIFICATION FOR INDIVIDUALS

Customer Name <i>(Individual's name as per NRIC or Passport)</i>	
ID Number <i>(ID Number as per NRIC/Passport)</i>	
Account Number with INTL FCSTONE PTE. LTD.	

I confirm that I wish to be assessed as an Accredited Investor as defined in Section 4A of the SFA based on the following information that I have provided which I confirm as true, accurate and complete.

*(Please select only ONE option below):*

I am an individual whose **personal income in the preceding 12 months is not less than SGD 300,000** (or its equivalent in a foreign currency).

*Please submit salary slips/income tax statements/salary confirmation from Employer for preceding 12 months.*

I am an individual whose **financial assets (net of any liabilities) exceed in value SGD 1 million** (or its equivalent in a foreign currency)

*Please complete the table below and provide relevant documents accordingly.*

Assets and Liabilities	Estimated Value (in SGD equivalent)	Examples of acceptable supporting documents (not exhaustive)
Cash and deposits <i>Examples: SGD fixed deposits, foreign currency fixed deposits, savings accounts or other liquid investments.</i>		Bank statement
Securities		Statement of consolidated holdings from: Central Depository (CDP) Brokerage firms Banks Other intermediaries
<b>(A) Total Assets</b>	<b>S\$</b>	
Liabilities – Any related liabilities including a margin account or credit lines taken to finance an investment portfolio		Bank/Broker statements
<b>(B) Total Liabilities</b>	<b>S\$</b>	
<b>Total Net Financial Assets (A – B)</b>	<b>S\$</b>	

I am an individual whose **net personal assets exceed SGD 2 million** (or its equivalent in a foreign currency) with **net equity of primary residence not exceeding SGD 1 million** (or its equivalent in a foreign currency).

Please complete the table below and provide relevant documents accordingly.

Assets and Liabilities	Estimated Value (in SGD equivalent)	Examples of acceptable supporting documents (not exhaustive)
Cash and deposits <i>Examples: SGD fixed deposits, foreign currency fixed deposits, savings accounts or other liquid investments.</i>		Bank statement
Residential Property <i>(provide address below)</i>	<i>[Maximum value for residential property capped at SGD 1 million]</i>	Property tax statement CPF Property statement Property Valuation Report/Reference
Other Property(s) Address(s) <i>(provide address below)</i>		
SRS Account Balance		SRS Statement
Listed securities <i>Examples: stocks, options</i>		Statement of consolidated holdings from: Central Depository (CDP) Brokerage firms Banks Other distributors
Investment funds <i>Example: unit trusts</i>		
Other assets <i>Examples: alternative investments, endowment insurance, gold, artifacts etc.</i>		Please provide both proof of ownership and proof of value
<b>(A) Total Assets</b>	<b>S\$</b>	
Loans <i>Examples: mortgages, hire purchases</i>		Mortgage Loan statements
Debt <i>Examples: credit cards, taxes, margin financing</i>		Bank/Broker statements
<b>(B) Total Liabilities</b>	<b>S\$</b>	
<b>NET ASSET POSITION (A – B)</b>	<b>S\$</b>	

I, enclose the supporting documents as indexed below to validate the basis of my proposed classification as an Accredited Investor:

1.		5.	
2.		6.	
3.		7.	
4.		8.	

Customer's Signature	
Date	

## SECTION 5: ACCREDITED INVESTOR CLASSIFICATION FOR CORPORATIONS

Please complete all the fields (in Block Letters) below if you represent a corporation.

Corporation Name <i>(as per the legal name in certificate of incorporation)</i>	
Business Registration No. <i>(or other Unique Identification Number)</i>	
Name of Authorized Signatory of the corporation <i>(in Block Letter and as per NRIC/Passport)</i>	
Registered Office Address <u>and</u> Principal Place of Business <i>(if different):</i>	
Title/Designation/Position of the Authorized Signatory in the corporation:	
Contact Number of Authorized Signatory:	
Email Address of Authorized Signatory:	

I, the Authorized Signatory of (please fill in corporation's full legal name in Block Letters)

.....

(the "**Corporation**"), confirm that the Corporation wishes to be assessed as an Accredited Investor as defined in Section 4A of the SFA; based on the following information that I have provided which I confirm as true, accurate and complete. The Corporation's basis for opting to be treated as an Accredited Investor is identified below:

(Please select only ONE of the Options):

Option	AI-Classification Code	AI Classification Basis
<input type="checkbox"/>	<b>CORP-1</b>	Net Assets of a Corporation exceed SGD 10 million (or its equivalent in foreign currency)
<input type="checkbox"/>	<b>CORP-2</b>	Entire Share Capital of a Corporation is owned (ultimate beneficial owners) by person(s) ALL of whom are either Individual or Corporate Accredited Investors
<input type="checkbox"/>	<b>CORP-3</b>	Net Assets of an entity (other than a Corporation) exceed SGD 10 million (or its equivalent in foreign currency)
<input type="checkbox"/>	<b>CORP-4</b>	Each Partner of a partnership (other than a limited liability partnership) is an Accredited Investor
<input type="checkbox"/>	<b>CORP-5</b>	Trustee of a Trust where the property assets exceeds SGD 10 million
<input type="checkbox"/>	<b>CORP-6</b>	Trustee of a Trust where ALL beneficiaries are Accredited Investors
<input type="checkbox"/>	<b>CORP-7</b>	Trustee of a Trust where ALL settlors are AI and have reserved investment powers and revocation powers

I, enclose the supporting documents as indexed below to validate the basis of the Corporation's classification as an Accredited Investor:

1.	5.
2.	6.
3.	7.
4.	8.

Authorized Signatory's Signature	
Date	

**SECTION 6: ACCREDITED INVESTOR CLASSIFICATION FOR JOINT ACCOUNT HOLDERS**

*This section should only be completed by a Joint Account holder who is not eligible to be classified as an Accredited Investor but intends to seek eligibility for the Joint Account to be granted Accredited Investor status under Section 4A of the SFA.*

Please Note:

*Where one party in a Joint Account is not an Accredited Investor, such party may opt-in to be treated as an Accredited Investor in respect of the Joint Account as long as the other party(s) is/are accredited investor(s) and has opted-in to be treated as an Accredited Investor.*

*However if the other party(s) who is/are Accredited Investor(s) on the Joint Account ceases to be eligible as an Accredited Investor or any Joint Account holder ceases to opt-in to be treated as an Accredited Investor, all parties will cease to be eligible for Accredited Investor status in respect of the Joint Account.*

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I, (Name & ID Number in Block Letters and as per NRIC/Passport): .....  
/.....who am a non-Accredited Investor having a Joint Account (account number)..... with INTL FCSTONE PTE. LTD. (“IFP”); confirm that I wish for my Joint Account as indicated above to be assessed to be eligible for Accredited Investor status under Section 4A of the SFA.

I understand that the eligibility of the Joint Account being continually granted Accredited Investor status is subject to ALL other Joint Account holders:

- (i) agreeing for the Joint Account to be granted Accredited Investor status; and
- (ii) at least one of the Joint Account holders qualifying to be assessed as an Accredited Investor.

Customer’s Signature (Non-Accredited Investor)	
Date	

This section provides further disclosures as to the effect under the consent provisions of the customer (“you”) being treated as an Accredited Investor by INTL FCSTONE PTE. LTD. (“us”, “we”, “IFP”) and (where relevant) other members of the INTL FCSTONE Group of companies (the “Group”). Where we deal with you as an accredited investor, we would be exempt from complying with certain requirements under the Financial Advisers Act, Chapter 110 of Singapore (the “FAA”) and certain regulations, notices and guidelines issued thereunder, as well as certain requirements under the Securities and Futures Act, Chapter 289 of Singapore (the “SFA”) and certain regulations and notices issued thereunder.

Please note that the regulatory requirements that we are exempted from when dealing with you as an Accredited Investor may be amended and updated from time to time due to regulatory changes or otherwise. You may at any time request for the current list of regulatory requirements that we are exempted from when dealing with you as an Accredited Investor.

These exemptions are set out below:

1. INTL FCStone is applying to be a member of an approved exchange. For purposes of its business if and when INTL FCStone is a member of an approved exchange, an accredited investor that suffers financial loss because of embezzlement of money or other property received by a member of an approved exchange or its agents in connection with dealing in capital markets products will not be entitled to be compensated from an investor compensation scheme set up under section 186(1) of the SFA.
2. For the time that INTL FCStone is not a member of an approved exchange, an accredited investor that suffers financial loss because of embezzlement of money or other property committed by INTL FCStone or any of its agents in the manner contemplated under paragraph 7(3) of SFLCBR is not entitled to be compensated from any deposit lodged with the MAS in respect of INTL FCStone’s application for a licence to carry on business in dealing in capital markets products. This consent provision will no longer apply when INTL FCStone becomes a member of an approved exchange in the future.
3. Before a prospectus or profile statement about an offer or intended offer of a securities-based derivative contract is registered by the MAS, a preliminary document which has been lodged with the MAS may be disseminated to accredited investors if certain conditions regarding the preliminary document are satisfied, and can provide verbal or written material on matters contained in the preliminary document.
4. Where an accredited investor directs INTL FCStone to deposit moneys received by INTL FCStone on account of the accredited investor in an account other than a trust account maintained in accordance with regulation 17 of the Securities and Futures (Licensing and Conduct of Business) Regulations (“SFLCBR”), INTL FCStone may deposit such moneys in an account into which the accredited investor directs that the moneys be deposited in which the accredited investor may not have legal and beneficial title or which account may not be maintained with a specified financial institution as defined in regulation 16 of the SFLCBR.
5. When depositing customer’s assets in a custody account held on trust for the customer, in the case of an accredited investor, the custody account held on trust does not have to be maintained in accordance with regulation 27 of the SFLCBR. Where an accredited investor directs INTL FCStone to deposit assets in any other account other than such a custody account held on trust for the customer, INTL FCStone may deposit the accredited investor’s assets in an account into which the accredited investor directs that the assets be deposited but in which the accredited investor may not have legal and beneficial title or which account may not be maintained with a specified custodian.
6. INTL FCStone does not have to, before depositing moneys received on account of accredited investors in a trust account mentioned in regulation 17 SFLCBR or before depositing assets received on account of accredited investors in a custody account mentioned in regulation 27 SFLCBR, provide the disclosure that would be required to be provided to retail customers as set out in regulations 18A and 27A SFLCBR respectively which disclosure includes how the account is held and the terms on which the account is held.

7. INTL FCStone may enter into a contract, arrangement or transaction that has the purpose or effect of transferring any right, interest, benefit or title in any moneys or assets received from accredited investors to itself or any other person where the contract, arrangement or transaction is not entered into in connection with borrowing or lending of the accredited investor's specified products and INTL FCStone does not comply with regulations 45(1), (3) and (4) SFLCBR in relation to the borrowing or lending of the accredited investor's specified products.
8. INTL FCStone may transfer an accredited investor's assets to meet any obligation of INTL FCStone in relation to any transaction, arrangement or contract entered into by INTL FCStone for the benefit of INTL FCStone.
9. INTL FCStone may withdraw money from an accredited investor's trust account for the purpose of making a payment to any other person or account to meet any obligation of INTL FCStone in relation to any transaction, arrangement or contract entered into by INTL FCStone for the benefit of INTL FCStone.
10. INTL FCStone does not have to, before mortgaging, charging, pledging or hypothecating an accredited investor's assets inform the customer that INTL FCStone may mortgage, charge, pledge or hypothecate such assets but only for a sum not exceeding the amount owed by the customer to the holder, explain to the customer the risks of mortgaging, charging, pledging or hypothecating the customer's assets and obtain the customer's written consent to mortgage, charge, pledge or hypothecate the customer's assets.
11. INTL FCStone may, for the purpose of depositing moneys received on account of accredited investors in respect of over-the-counter ("OTC") derivatives contracts that are entered into by IFP with the accredited investors which are denominated in a foreign currency in a trust account, maintain the trust account with a custodian outside Singapore which is licensed, registered or authorised to conduct banking business in the country or territory where the account is maintained.
12. INTL FCStone may deposit, for accredited investors, moneys received on account of the customer in respect of OTC derivatives contracts with an approved clearing house, a recognised clearing house, a member of an organised market or a member of a clearing facility for:
  - 12.1 the purpose of entering into, facilitating the continued holding of a position in, or facilitating a transaction in, any capital markets products on behalf of the customer on the organised market;
  - 12.2 for the purpose of clearing or settlement of any capital markets products on the clearing facility for the customer;
  - 12.3 for any other purpose specified under the business rules and practices of the approved clearing house, recognised clearing house, organised market or clearing facility, as the case may be.
13. INTL FCStone may deal with an accredited investor as an agent when dealing in capital markets products that are OTC derivatives contracts or spot foreign exchange contracts for the purposes of leveraged foreign exchange trading.
14. INTL FCStone may open a trading account for the purposes of trading in futures contracts, spot foreign exchange contracts for the purposes of trading in futures contracts, spot foreign exchange contracts for the purposes of leveraged foreign exchange trading or foreign exchange OTC derivatives contracts for accredited investors that are not related corporations of INTL FCStone without furnishing the customer with a separate written risk disclosure document in a specified form and receiving from the customer an acknowledgement signed and dated by the customer that he has received and understood the nature and contents of the risk disclosure document.
15. INTL FCStone may open a trading account for an accredited investor for the purpose of trading in capital markets products other than future contracts, spot foreign exchange contracts for the purposes of leveraged foreign exchange trading or foreign exchange OTC derivatives contracts without furnishing the customer with a written risk disclosure document that discloses the material risks of the capital markets products and receiving from the customer an acknowledgement signed and dated by the customer that he has received and understood the nature and contents of the risk disclosure document.



16. INTL FCStone may trade capital markets products other than future contracts, spot foreign exchange contracts for the purposes of leveraged foreign exchange trading or foreign exchange OTC derivatives contracts with an accredited investor without furnishing the customer whether INTL FCStone is acting in that or all trades as either principal or agent.
17. INTL FCStone does not have to in relation to any provisional or temporary representative under the SFA or any provisional representative under the Financial Advisers Act (“FAA”) ensure that the representative in the course of carrying on business in any regulated activity under the SFA or in the course of providing any financial advisory service:
  - 17.1 is accompanied at all times when meeting an accredited investor by a person referred to in paragraph 3A(6) SFLCBR or paragraph 4A(5) FAR;
  - 17.2 sends concurrently to a person referred to in paragraph 3A(6) SFLCBR or paragraph 4A(5) FAR all electronic mail that he sends to any accredited investor in the course of carrying on business in any regulated activity or in the course of providing financial advisory service; and
  - 17.3 does not communicate by telephone with any accredited investor other than by telephone conference in the presence of a person referred to in paragraph 3A(6) SFLCBR or paragraph 4A(5) FAR.
18. INTL FCStone may subject to other provisions of regulation 33 SFLCBR lend or arrange for a custodian to lend the assets of an accredited investor which are specified products without explaining the risks involved to an accredited investor and obtaining the accredited investor’s written consent.
19. INTL FCStone does not need to furnish monthly to each accredited investor a statement of account containing the particulars referred to in regulation 40(2) SFLCBR if INTL FCStone has made available to the accredited investor on a real-time basis, those particulars in the form of electronic records stored on an electronic facility and the customer has consented to those particulars being made available to him in this manner or the accredited investor has requested, in writing, not to receive the statement of account on a monthly basis from INTL FCStone.
20. INTL FCStone does not need to provide collateral (defined in regulation 45(9) SFLCBR) to an accredited investor when borrowing specified products from an accredited investor that owns those specified products, but must ensure that the terms and conditions of the borrowing are recorded in a prior written agreement complying with regulation 45(7) of the SFLCBR.
21. INTL FCStone does not have to, in making any recommendation to an accredited investor on an investment product, base that recommendation on a consideration and investigation of the investment objectives, financial situation and particular needs of the accredited investor or reasonably considered the subject matter of the recommendation. INTL FCStone shall however disclose that INTL FCStone is not required to so comply to the accredited investor.
22. INTL FCStone does not have to establish a remuneration framework for reviewing and assessing the performance of its representatives and its supervisors and their remuneration that contains certain terms consistent with prescribed requirements or have an independent sales audit unit to audit the quality of the financial advisory services provided by its representatives.
23. When sending a circular or other written communication in which a recommendation is made in respect of securities-based derivatives contracts that are not futures contracts (as defined in section 2 SFA) to accredited investors, INTL FCStone is not required to include a concise statement meeting certain legibility standards of the nature of any interest in, or any interest in the acquisition or disposal of, those specified products that it or any of its associated or connected persons has at the date on which the circular or other communication is sent.
24. INTL FCStone does not have to carry out a due diligence exercise to ascertain whether any new product INTL FCStone wishes to sell or market to accredited investors is suitable.

**SECTION 8: DECLARATION FOR INDIVIDUALS OPTING TO BE CLASSIFIED AS AN ACCREDITED INVESTOR**

Customer Name <i>(Individual's name as per NRIC or Passport)</i>	
ID Number <i>(ID Number as per NRIC/Passport)</i>	
Account Number with INTL FCSTONE PTE. LTD.	

I agree and acknowledge that:

1. I have been assessed by INTL FCSTONE PTE. LTD (“IFP”) to be an Accredited Investor as described in section 4A of the Securities and Futures Act, Chapter 289 of Singapore.
2. I have reviewed “Section 7: Other Disclosures” where an explanation of the regulatory requirements that IFP is exempted from complying with when IFP engages in business relationships with Accredited Investors. I confirm that I fully understand the consequences of being treated by IFP as an Accredited Investor.
3. I consent to be treated as an Accredited Investor in respect of (i) all accounts which I hold with IFP, and (where relevant) other members of the INTL FCSTONE Group of companies (the “Group”); (ii) all transactions booked with or through IFP or the Group; and (iii) the relevant services and products offered by or through the IFP and the Group to me. This consent is for the purposes of my business relationship with IFP and (where relevant) other members of the Group. I further consent to IFP disclosing this to any person that relies on my status as an Accredited Investor to be eligible to be treated as an Accredited Investor.
4. My consent is subject to a processing period to effect the instruction. IFP will notify me of my investor status within an estimated 14 business days from the date of receipt of this form (or as soon as practicable thereafter).
5. I acknowledge that I may at any time withdraw my consent by notifying IFP in writing. The withdrawal is subject to a processing period of 30 business days from the date of receipt of IFP’s “Accredited Investor Opt-Out Form”. IFP will notify me once my investor status as a Non-Accredited Investor customer has been updated in its records. Until such time, I will still be treated as an Accredited Investor by IFP and the Group. Any offerings or recommendations of investment products to me, and transactions entered into by me, during this processing period will continue to be exempted under the relevant consent provisions.

*General Warning: Accredited investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as accredited investors therefore forgo the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to accredited investors, and intermediaries are exempted from a number of business conduct requirements when dealing with accredited investors. Investors should consult a professional adviser if they do not understand any consequence of being treated as an accredited investor.*

Customer’s Signature/	
Date	

Attention: Customer Onboarding Desk  
 INTL FCSTONE PTE.LTD.  
 One Raffles Place  
 #12-62 Tower 2  
 Singapore 048616  
 Email: [Onboarding.Asia@intlfcstone.com](mailto:Onboarding.Asia@intlfcstone.com)  
 Business Registration No. 201130598R

Customer Name <i>(Corporation name as per legal certificate name of incorporation)</i>	
Authorized Signatory's Name	
Business Registration Number	
Account Number with INTL FCSTONE PTE. LTD.	

We agree and acknowledge that:

1. We have been preliminarily assessed by INTL FCSTONE PTE. LTD (“IFP”) to be an Accredited Investor as described in section 4A of the Securities and Futures Act, Chapter 289 of Singapore.
2. We have reviewed “Section 7: Other Disclosures” where an explanation of the regulatory requirements that IFP is exempted from complying with when IFP engages in business relationships with Accredited Investors. We confirm that we fully understand the consequences of being treated by IFP as an Accredited Investor.
3. We consent to be treated as an Accredited Investor in respect of (i) all accounts which we hold with IFP, and (where relevant) other members of the INTL FCSTONE Group of companies (the “Group”); (ii) all transactions booked with or through IFP or the Group; and (iii) the relevant services and products offered by or through IFP and the Group to us. This consent is for the purposes of my business relationship with IFP and (where relevant) other members of the Group. We further consent to IFP disclosing this to any person that relies on our status as an Accredited Investor to be eligible to be treated as an Accredited Investor.
4. Our consent is subject to a processing period to effect the instruction. IFP will notify us of our investor status within an estimated 14 business days from the date of receipt of this form (or as soon as practicable thereafter).
5. We acknowledge that we may at any time withdraw our consent by notifying IFP in writing. The withdrawal is subject to a processing period of 30 business days from the date of receipt of IFP’s “Accredited Investor Opt-Out Form”. IFP will notify us once our investor status as a Non-Accredited Investor customer has been updated in its records. Until such time, we will still be treated as an Accredited Investor by IFP and the Group. Any offerings or recommendations of investment products to us, and transactions entered into by us, during this processing period will continue to be exempted under the relevant consent provisions.

*General Warning: Accredited investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as accredited investors therefore forgo the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to accredited investors, and intermediaries are exempted from a number of business conduct requirements when dealing with accredited investors. Investors should consult a professional adviser if they do not understand any consequence of being treated as an accredited investor.*

Authorized Signatory Signature	
Date	

Attention: Customer Onboarding Desk  
 INTL FCSTONE PTE.LTD.  
 One Raffles Place  
 #12-62 Tower 2  
 Singapore 048616  
 Email: [Onboarding.Asia@intlfcstone.com](mailto:Onboarding.Asia@intlfcstone.com)  
 Business Registration No. 201130598R

**SECTION 10: DECLARATION FOR JOINT ACCOUNT HOLDERS OPTING TO BE CLASSIFIED AS ACCREDITED INVESTOR**

Customer Name <i>(Individual's name as per NRIC or Passport)</i> <i>(This is the individual who holds the Joint Account but does not qualify as an "Accredited Investor")</i>	
ID Number <i>(ID Number as per NRIC/Passport)</i>	
Joint Account Number with INTL FCSTONE PTE. LTD.	

I agree and acknowledge that:

1. I have not been assessed by INTL FCSTONE PTE. LTD ("IFP) to be an Accredited Investor as described in section 4A of the Securities and Futures Act, Chapter 289 of Singapore. However, I would like my Joint Account as indicated above to be granted "Accredited Investor" status.
2. I have reviewed "Section 7: Other Disclosures" where an explanation of the regulatory requirements that IFP is exempted from complying with when IFP engages in business relationships with Accredited Investors. I confirm that I fully understand the consequences of my Joint Account being granted Accredited Investor status.
3. I consent to be treated as an Accredited Investor in respect of (i) my Joint Account which I hold with IFP, and (where relevant) other members of the INTL FCSTONE Group of companies (the "Group"); (ii) all transactions booked with or through IFP or the Group through the Joint Account; and (iii) the relevant services and products offered by or through the IFP and the Group to me through the Joint Account. This consent is for the purposes of my business relationship with IFP and (where relevant) other members of the Group. I further consent to IFP disclosing this to any person that relies on my Joint Account status as an Accredited Investor to be eligible to be treated as an Accredited Investor.
4. My consent is subject to a processing period to effect the instruction. IFP will notify me of my Joint Account investor status within an estimated 14 business days from the date of receipt of this form (or as soon as practicable thereafter).
5. I acknowledge that I may at any time withdraw my consent by notifying IFP in writing. The withdrawal is subject to a processing period of 30 business days from the date of receipt of IFP's "Accredited Investor Opt-Out Form". IFP will notify me once my Joint Account status as a Non-Accredited Investor account has been updated in its records. Until such time, my Joint Account will still be treated as an Accredited Investor by IFP and the Group. Any offerings or recommendations of investment products to me, and transactions entered into by me for the Joint Account, during this processing period will continue to be exempted under the relevant consent provisions.

*General Warning: Accredited investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as accredited investors therefore forgo the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to accredited investors, and intermediaries are exempted from a number of business conduct requirements when dealing with accredited investors. Investors should consult a professional adviser if they do not understand any consequence of being treated as an accredited investor.*

Attention: Customer Onboarding Desk  
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