

IMPORTANT INFORMATION

INTL FCSTONE PTE LTD (“IFP”) holds a Capital Markets Services license with the Monetary Authority of Singapore (“MAS”) and is required to ensure that it only engages with prescribed customers under its license conditions such as those classified as an “Institutional Investor” as defined in Section 4C of the Securities and Futures Act (Cap.289) (the “SFA”).

The classification of IFP’s customers’ status as an Institutional Investor (“II”) is strictly with the consent of the customer and the customer is under no obligation to be classified as an II. If a customer consents and subsequently opts not to be classified as an II, IFP will cease to treat the customer as an II.

Note that due to IFP’s MAS license conditions, the failure of the customer to be classified as an II may lead to IFP being required to terminate the business relationship with the customer.

INSTITUTIONAL INVESTOR CLASSIFICATION FOR CORPORATES

Please complete all the fields (in Block Letters) below if you represent a corporation.

Corporation Name <i>(as per the legal name in certificate of incorporation)</i>	
Business Registration No. <i>(or other Unique Identification Number)</i>	
Name of Authorized Signatory <i>(in Block Letter and as per NRIC/Passport)</i>	
Registered Office Address <u>and</u> Principal Place of Business <i>(if different)</i>	
Title/Designation/Position of the Authorized Signatory in the Corporation:	
Contact Number of Authorized Signatory:	
Email Address of Authorized Signatory:	

I, the Authorized Signatory of (please fill in corporation's full legal name in Block Letters)

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 (the "**Corporation**"), confirm that the Corporation wishes to be classified as an Institutional Investor as defined in Section 4A(c) of the SFA; based on the following information that I have provided which I confirm as true, accurate and complete. The Corporation's basis for opting to be treated as an Institutional Investor is identified below:

Please select only ONE of the Options and enclose the supporting documents as indexed below:

Options	II Classification Basis	Supporting Documents Provided	
<input type="checkbox"/>	the Government	<input type="checkbox"/>	(a) Resolution for legally authorized signatory of the Government
<input type="checkbox"/>	statutory board as may be prescribed by regulations made under section 341	<input type="checkbox"/>	(a) Resolution for legally authorized signatory of the statutory board
<input type="checkbox"/>	Entity that is wholly and beneficially owned, whether directly or indirectly, by a central government of a country and whose principal activity is — (A) to manage its own funds; (B) to manage the funds of the central government of that country (which may include the reserves of that central government and any pension or provident fund of that country); or (C) to manage the funds (which may include the reserves of that central government and any pension or provident fund of that country) of another entity that is wholly and beneficially owned, whether directly or indirectly, by the central government of that country	<input type="checkbox"/>	(a) Resolution for legally authorized signatory of the entity and (b) investment mandate agreement appointing the entity
<input type="checkbox"/>	a central bank in a jurisdiction other than Singapore	<input type="checkbox"/>	(a) Resolution for legally authorized signatory of the central bank
<input type="checkbox"/>	a central government in a country other than Singapore	<input type="checkbox"/>	(a) Resolution for legally authorized signatory of the central government
<input type="checkbox"/>	an agency (of a central government in a country other than Singapore) that is incorporated or established in a country other than Singapore	<input type="checkbox"/>	(a) Resolution for legally authorized signatory of the agency
<input type="checkbox"/>	a multilateral agency, international organization or supranational agency as may be prescribed by regulations made under section 341	<input type="checkbox"/>	(a) Resolution from legally authorized signatory and (b) investment mandate agreement appointing the entity
<input type="checkbox"/>	a bank that is licensed under the Banking Act (Cap. 19)	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Bank License
<input type="checkbox"/>	a merchant bank that is approved as a financial institution under section 28 of the Monetary Authority of Singapore Act (Cap. 186)	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Bank License
<input type="checkbox"/>	a finance company that is licensed under the Finance Companies Act (Cap. 108)	<input type="checkbox"/>	(a) Resolution from legally authorized signatory and (b) Finance Companies Act License
<input type="checkbox"/>	a company or co-operative society that is licensed under the Insurance Act (Cap. 142) to carry on insurance business in Singapore	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Insurance Act License
<input type="checkbox"/>	a company licensed under the Trust Companies Act (Cap. 336)	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Trust Companies Act License

<input type="checkbox"/>	a holder of a capital markets services license	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Capital Markets Services License
<input type="checkbox"/>	an approved exchange	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Approved Exchange License
<input type="checkbox"/>	a recognized market operator	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Market Operator License
<input type="checkbox"/>	an approved clearing house	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Clearing House License
<input type="checkbox"/>	a recognized clearing house	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Clearing House License
<input type="checkbox"/>	a licensed trade repository	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Trade Repository License
<input type="checkbox"/>	a licensed foreign trade repository	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Foreign Trade Repository License
<input type="checkbox"/>	an approved holding company	<input type="checkbox"/>	(a) Board Resolution of holding company (b) Subsidiary License (c) Ownership Structure
<input type="checkbox"/>	a Depository as defined in section 81SF	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Depository License
<input type="checkbox"/>	<p>an entity or a trust formed or incorporated in a jurisdiction other than Singapore, which is regulated for the carrying on of any financial activity in that jurisdiction by a public authority of that jurisdiction that exercises a function that corresponds to a regulatory function of the Authority under this Act, the Banking Act (Cap. 19), the Finance Companies Act (Cap. 108), the Monetary Authority of Singapore Act (Cap. 186), the Insurance Act (Cap. 142), the Trust Companies Act (Cap. 336) or such other Act as may be prescribed by regulations made under section 341.</p> <p>Please specify:</p>	<input type="checkbox"/>	Refer to the supporting documents required for the equivalent Singapore regulated financial institution as detailed above.

<input type="checkbox"/>	a pension fund, or collective investment scheme, whether constituted in Singapore or elsewhere	<input type="checkbox"/>	(a) Prospectus; or
		<input type="checkbox"/>	(b) Private Placement Memorandum (PPM)
<input type="checkbox"/>	a person (other than an individual) who carries on the business of dealing in bonds with accredited investors or expert investors	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Sample Customer Agreement
<input type="checkbox"/>	the trustee of such trust as the Authority may prescribe, when acting in that capacity	<input type="checkbox"/>	(a) Trustee license; or
		<input type="checkbox"/>	(b) Trust Deed

such other person as the Authority may prescribe per below;
Please specify:

<input type="checkbox"/>	a designated market-maker	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Relevant market License
<input type="checkbox"/>	a headquarters company or Finance and Treasury Centre which carries on a class of business involving fund management, where such business has been approved as a qualifying service in relation to that headquarters company or Finance and Treasury Centre under section 43E(2)(a) or 43G(2)(a) of the Income Tax Act (Cap. 134)	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Qualifying Certificate
<input type="checkbox"/>	a person who undertakes fund management activity (whether in Singapore or elsewhere) on behalf of not more than 30 qualified investors	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) RFM Registration Letter
<input type="checkbox"/>	a Service Company which carries on business as an agent of a member of Lloyd's	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) Lloyd's appointment notification
<input type="checkbox"/>	a corporation the entire share capital of which is owned by an institutional investor or by persons all of whom are institutional investors	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) relevant license of all institutional shareholders
<input type="checkbox"/>	a partnership (other than a limited liability partnership within the meaning of the Limited Liability Partnerships Act (Cap. 163A)) in which each partner is an institutional investor	<input type="checkbox"/>	(a) Resolution for legally authorized signatory and (b) relevant license of all institutional partners

Authorized Signatory Signature	
Date	

Attention: Customer Onboarding Desk
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 Singapore 048616
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