

## **Tax Strategy of StoneX Financial Limited**

This document sets out the Tax Strategy of StoneX Financial Limited for the financial year ending 30 September 2021. StoneX Financial Limited is providing this tax strategy statement in compliance with its duties as outlined in Schedule 19, Part 2, Paragraph 19 of the Finance Act 2016. The strategy below applies to taxes applicable to StoneX Financial Limited in the United Kingdom.

### **Commitment to compliance**

StoneX Financial Limited complies with tax law and practice in all the territories in which we operate, including the UK, which is our main place of business. We are committed to complying with our tax obligations on a timely basis, and will claim reliefs and incentives where available and in line with the commitments of this strategy.

### **Attitude toward tax planning**

In structuring StoneX Financial Limited's commercial activities, we will consider, among other factors, the tax laws of the countries within which we operate when seeking to maximise value on a sustainable basis for our shareholders. StoneX Financial Limited is a highly regulated organisation that is of importance to certain sectors of the global economy. In this context, any tax planning undertaken will have commercial and economic substance and will have regard to the potential impact on our reputation and broader goals. We will not undertake any tax planning unless we believe that the strategy is compliant with tax legislation. If there is uncertainty, then we will consult with third party expert advisers before proceeding.

### **Tax risk management and governance arrangements**

As an organisation of considerable size and complexity, StoneX Financial Limited understands that risks will arise from time to time in relation to the application of tax law and the nature of our resulting tax obligations.

To ensure that tax risks remain in line with our tax risk appetite, we proactively seek to identify, mitigate and then monitor these risks. We have extremely low tolerance to positions or transactions that are open to interpretation and not disclosed in a transparent manner to tax authorities.

StoneX Financial Limited maintains robust policies and compliance processes to ensure the integrity of its tax returns and timely payments. StoneX Financial Limited is committed to paying the appropriate amount of tax under applicable tax laws and regulations, and has appropriate oversight over its tax operations from senior personnel to support this commitment. Overall responsibility for tax compliance rests with the StoneX Financial Limited's Chief Financial Officer.

### **Approach to dealing with HMRC**

StoneX Financial Limited co-operatively engages with HMRC with honesty, transparency, integrity, respect and fairness. We seek to handle any enquiries or disputes through open discussion and negotiation with HMRC.

